10 Telecom Lane P.O. Box 1000 Peridot, Arizona 85542 Phone (928) 475-2433 FAX (928) 475-7047

### San Carlos Apache Telecommunications Utility, Inc.

### REDACTED - FOR PUBLIC INSPECTION

June 30, 2016

Ms. Marlene H. Dortch, Secretary Federal Communications Commission 445 12<sup>th</sup> Street, S.W. Washington, D.C. 20554

Re: Connect America Fund, WC Docket No. 14-58, 47 CFR § 54.313 Annual Reporting Requirements for High-Cost Recipients (Form 481)

Dear Ms. Dortch:

Attached please find San Carlos Apache Telecommunications Utility, Inc.'s high-cost support recipient annual report pursuant to 47 CFR § 54.313 (Form 481).

San Carlos Apache Telecommunications Utility, Inc. is filing certain financial information, reported pursuant to 47 CFR §54.313(f)(2), as confidential under the March 22, 2016 Protective Order (DA 16-296). Pursuant to that Order, each page of this filing has been marked "REDACTED - FOR PUBLIC INSPECTION." The non-redacted version of this information has been marked "CONFIDENTIAL INFORMATION - SUBJECT TO PROTECTIVE ORDER BEFORE THE FEDERAL COMMUNICATIONS COMMISSION." As such, San Carlos Apache Telecommunications Utility, Inc. requests that the non-redacted version of its submission be withheld from public inspection.

San Carlos Apache Telecommunications Utility, Inc. is also requesting confidential treatment of certain information being filed pursuant to 47 CFR § 54.202(a)(1)(ii)and 54.313(a)(1) (five year service quality improvement plan) under 47 CFR § 0.457 and 0.459. The redacted version of this filing has been marked "REDACTED - FOR PUBLIC INSPECTION." The non-redacted version has been marked "CONFIDENTIAL - NOT FOR PUBLIC INSPECTION."

Pursuant to 47 CFR § 0.459, San Carlos Apache Telecommunications Utility, Inc. offers the following in support of its request for confidential treatment of certain information.

- Identification of the specific information for which confidential treatment is sought: San Carlos Apache Telecommunications Utility, Inc. seeks confidential treatment of the five year service quality improvement plan required per 47 CFR § 54.202(a)(1)(ii) and 54.313(a)(1),
- Identification of the Commission proceeding in which the information was submitted or a description of the circumstances giving rise to the submission: San Carlos Apache Telecommunications Utility, Inc. is providing the five year service quality improvement plan as part of its annual high-cost support recipient report per 47 CFR § 54.313.

- Explanation of the degree to which the information is commercial or financial, or contains a trade secret or is privileged: San Carlos Apache Telecommunications Utility, Inc. considers the information to be highly sensitive in that it contains statements about the Company's future investment plans, and discusses specific equipment and strategies the Company will utilize to provide services.
- Explanation of the degree to which the information concerns a service that is subject to competition: San Carlos Apache Telecommunications Utility, Inc. provides voice and broadband services that are in competition with various landline and wireless providers; thus, the investment data disclosed is related to services subject to competition to a high degree.
- Identification of any measures taken by the submitting party to prevent unauthorized disclosure: San Carlos Apache Telecommunications Utility, Inc. makes the data being provided available only to employees, consultants, and attorneys on a limited, need-to-know basis.
- Identification of whether the information is available to the public and the extent of any previous disclosure of the information to third parties: The information is not publicly available.
- Justification of the period during which the submitting party asserts that material should not be available for public disclosure: San Carlos Apache Telecommunications Utility, Inc. requests that the data provided be treated as confidential indefinitely. Due to the sensitive nature of the data, it would not be appropriate for public disclosure at any time in the foreseeable future.
- Any other information that the party seeking confidential treatment believes may be useful in assessing whether its request for confidential treatment should be granted: None.

Accordingly, San Carlos Apache Telecommunications Utility, Inc. requests confidential treatment of the five year service quality improvement plan pursuant to section 0.457 and 0.459 of the Commission's rules.

The redacted version of this Form 481 submission will be filed via the Commission's Electronic Comment Filing System (ECFS) in the above-captioned docket.

If you have any questions about this filing, please contact the undersigned.

Sincerely,

Shirley Ortiz

CEO/General Manager

Attachment

FCC For	rm 481 - Carrier Annual Reporting Data Collection Form		FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
<010>	Study Area Code	452169	
<015>	Study Area Name	SAN CARLOS APACHE	
<020>	Program Year	2017	
<030>	Contact Name: Person USAC should contact with questions about this data	Shirley Ortiz	
<035>	Contact Telephone Number: Number of the person identified in data line <030>	9284752433 ext.	
<039>	Contact Email Address: Email of the person identified in data line <030>	shirley.ortiz@scatui.com	
	Form Type	54.313 and 54.422	

	ervice Quality Improvement Reporting ollection Form		FCC Form 481  OMB Control No. 3060-0986/OMB Control No. 3060-0819  July 2013
<010>	Study Area Code	452169	
<015>	Study Area Name	SAN CARLOS APACHE	
<020>	Program Year	2017	
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz	
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.	
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com	
<110>	Has your company received its ETC certification from the FCC?  If your answer to Line <110> is yes, do you have an existing §54.202(a) "5	(yes / no )	
<111>	year plan" filed with the FCC?	(yes / no ) <b>O</b>	
<112>	If your answer to Line <111> is yes, please file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.  Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your CETC which only receives frozen support, your progress report is only required to address voice telephony service.	452169AZ112.pd company is a	
	Please select the appropriate responses below (Yes, No, Not Applicable) to cont that the attached document(s), on line 112, contains a progress report on its five service quality improvement plan pursuant to §54.202(a). The information shall submitted at the wire center level or census block as appropriate.	re-year	Name of Attached Document
<113>	Maps detailing progress towards meeting plan targets	Yes	
<114>	Report how much universal service (USF) support was received	Yes	
<115>	How much (USF) was used to improve service quality and how support was used to improve	rove service quality Yes	
<116>	How much (USF) was used to improve service coverage and how support was used to im	prove service coverage Yes	
<117>	How much (USF) was used to improve service capacity and how support was used to imp	prove service capacity Yes	
<118>	Provide an explanation of network improvement targets not met in the prior calendar year.	Yes	

(200) Service Outage Reporting (Voice)	FCC Form 481
Data Collection Form	OMB Control No. 3060-0986/OMB Control No. 3060-0819
	July 2013

									July	2013		
<010>	Study Area Co	ode				452169						
<015>	<015> Study Area Name		SAN CARLOS	APACHE								
<020>	·		2017									
<030>			Shirley Ort	iz								
<035>				30> <sup>9284752433</sup>	ext.							
<039>	39> Contact Email Address - Email Address of person identified in data line <030>			30> shirley.ort	iz@scatui.com							
<210>	> For the prior calendar year, were there any reportable voice service outages?											
	·	•	•			ū						
<220>	<a></a>	<b1></b1>	<b2></b2>	<b3></b3>	<b4></b4>	<c1></c1>	<c2></c2>	<d></d>	<e></e>	<f></f>	<g></g>	<h></h>
	NORS									Did This Outage		
	Reference	-	Outage Start	_	Outage End	Number of	Tatal Number of	911 Facilities	Service Outage	Affect Multiple	Camilas Outana	Duning that in a
	Number	Date	Time	Date	Time	Customers Affected	Total Number of	Affected	Description (Check	Study Areas	Service Outage Resolution	Preventative
							Customers	(Yes / No)	all that apply)	(Yes / No)	Resolution	Procedures

(300) Unfulfilled Service Request  Data Collection Form				FCC Form 481 OMB Control No. 3060-0986/OMB Cont July 2013	rol No. 3060-0819
<010> Study Area Code		452169			
<015> Study Area Name		SAN CARLOS APACHE			
<020> Program Year		2017			
<030> Contact Name - Person USAC should contact regarding this data		Shirley Ortiz			
<035> Contact Telephone Number - Number of person i	dentified in data line <030>	9284752433 ext.			
<039> Contact Email Address - Email Address of person	identified in data line <030>	shirley.ortiz@scatui.com			
<300> Unfulfilled service request (voice)		0			
<310> Detail on attempts (voice)					_
	Nam	ne of Attached Document			
<320> Unfulfilled service request (broadband)		0			
<330> Detail on attempts (broadband)		Name of Attached Document			

(400) Number of Complaints per 1,000 customers	FCC Form 481
Data Collection Form	OMB Control No. 3060-0986/OMB Control No. 3060-0819
	July 2013

<010>	Study Area Code	452169	
<015>	Study Area Name	SAN CARLOS APACHE	
<020>	Program Year	2017	
<030>	Contact Name - Person USAC should conta	act regarding this data  Shirley Ortiz	
<035>	Contact Telephone Number - Number of p <030>	erson identified in data line 9284752433 ext.	
<039>	Contact Email Address - Email Address of p <030>	Derson identified in data line shirley.ortiz@scatui.com	
<400>	Select from the drop-down list to indicate voice complaints (zero or greater) for voice calendar year for each service area in which any facilities you own, operate, lease, or or	e telephony service in the prior Offered only fixed voice hyou are designated an ETC for	
<410>	Complaints per 1000 customers for fixed v	oice 0.0	
<420>	Complaints per 1000 customers for mobile	e voice	
<430>	Select from the drop-down list to indicate end-user customer complaints (zero or greather prior calendar year for each service are an ETC for any facilities you own, operate,	eater) for broadband service in Offered only fixed broadband ea in which you are designated	
<440>	Complaints per 1000 customers for fixed b	proadband 0.0	
<450>	Complaints per 1000 customers for mobile	e broadband	

, ,	npliance With Service Quality Standards and Consumer Protection Rules lection Form		FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
<010>	Study Area Code	452169	
<015> <020>	Study Area Name Program Year	SAN CARLOS APACHE 2017	
<030> <035>	Contact Name - Person USAC should contact regarding this data  Contact Telephone Number - Number of person identified in data line <030>	Shirley Ortiz 9284752433 ext.	
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com	
<500>	Certify compliance with applicable service quality standards and consumer pro-	otection rules Yes	
<510>	Descriptive document for Service Quality Standards & Consumer Protection Ru	452169AZ510.pdf ules Compliance	

(600) Functionality in Emergency Situations Data Collection Form		FCC Form 481  OMB Control No. 3060-0986/OMB Control No. 3060-0819  July 2013
<010> Study Area Code	452169	
<015> Study Area Name	SAN CARLOS APACHE	
<020> Program Year	2017	
<030> Contact Name - Person USAC should contact regarding this data	Shirley Ortiz	
<035> Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.	
<039> Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com	
<600> Certify compliance regarding ability to function in emergency situations	Yes	
<610> Descriptive document for Functionality in Emergency Situations	452169AZ610.pdf	

(700) Price Offerings including Voice Rate Data  Data Collection Form		FCC Form 481  OMB Control No. 3060-0986/OMB Control No. 3060-0819  July 2013
<010> Study Area Code	452169	
<015> Study Area Name	SAN CARLOS APACHE	
<020> Program Year	2017	
<030> Contact Name - Person USAC should contact regarding t	his data Shirley Ortiz	
<035> Contact Telephone Number - Number of person identifie	ed in data line <030> 9284752433 ext.	
<039> Contact Email Address - Email Address of person identifi	ied in data line <030> shirley.ortiz@scatui.com	
<701> Residential Local Service Charge Effective Date <702> Single State-wide Residential Local Service Charge	1/1/2016 16.0	

<703>	<a1></a1>	<a2></a2>	<a3></a3>	<b1></b1>	<b2></b2>	<b3></b3>	<b4></b4>	<b5></b5>	<c></c>
	<b>a.</b> .	()	(2==2)		Residential Local			Mandatory Extended Area	
	State	Exchange (ILEC)	SAC (CETC)	Rate Type	Service Rate	State Subscriber Line Charge	State Universal Service Fee	Service Charge	Total per line Rates and Fees
					0				
					See at	tached worksheet			

(710) Broadbrand Price Offerings	FCC Form 481
Data Collection Form	OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013

<010>	Study Area Code 49	52169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2017
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

<711>	<a1></a1>	<a2></a2>	<b1></b1>	<b2></b2>	<c></c>	<d1></d1>	<d2></d2>	<d3></d3>	<d4></d4>
-	State	Exchange (ILEC)	Residential Rate	State Regulated Fees	Total Rate and Fees	Broadband Service - Download Speed (Mbps)	Broadband Service - Upload Speed (Mbps)	Usage Allowance (GB)	Usage Allowance Action Taken When Limit Reached {select }
-									
-									
-									
				See attac	hed				
-				worksheet -					
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(800) Operating Companies	FCC Form 481
Data Collection Form	OMB Control No. 3060-0986/OMB Control No. 3060-0819
	July 2013

<010>	Study Area Code		452169
<015>	Study Area Name		SAN CARLOS APACHE
<020>	Program Year		2017
<030>	Contact Name - Person U	SAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Numb	per - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - E	mail Address of person identified in data line <030>	shirley.ortiz@scatui.com
<810>	Reporting Carrier	San Carlos Apache Telecommunications Utility	, Inc.
<811>	Holding Company	San Carlos Apache Telecommunications Utility	, Inc.
<812>	Operating Company	San Carlos Apache Telecommunications Utility	v, Inc.

<813>	<a1></a1>	<a2></a2>	<a3></a3>
_	Affiliates	SAC	Doing Business As Company or Brand Designation
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	bal Lands Reporting		FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819
Data Col	lection Form		July 2013
<010>	Study Area Code	452169	
<015>	Study Area Name	SAN CARLOS APACHE	
<020>	Program Year	2017	
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz	
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.	
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com	
<900>	Does the filing entity offer tribal land services? (Y/N)	Yes	
<910>	Tribal Land(s) on which ETC Serves	San Carlos Apache Reservation	
<920>	Tribal Government Engagement Obligation	452169AZ920.pdf	
		Name of Attache	ed Document

If your company serves Tribal lands, please select (Yes,No, NA) for each these boxes to confirm the status described on the attached document(s), on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

<921>	Needs assessment and deployment planning with a focus on Tribal
	community anchor institutions.
<922>	Feasibility and sustainability planning;
<923>	Marketing services in a culturally sensitive manner;
<924>	Compliance with Rights of way processes
<925>	Compliance with Land Use permitting requirements
<926>	Compliance with Facilities Siting rules

<b>\323</b> /	Marketing services in a culturally sensitive mariner,
<924>	Compliance with Rights of way processes
<925>	Compliance with Land Use permitting requirements
<926>	Compliance with Facilities Siting rules
<927>	Compliance with Environmental Review processes
<928>	Compliance with Cultural Preservation review processes
<929>	Compliance with Tribal Business and Licensing requirements.

	Select
`	res or No or
1	Not Applicable
	Yes
	Yes

(1000) Voice and Broadband Service Rate Comparability Data Collection Form			FCC Form 481  OMB Control No. 3060-0986/OMB Control No. 3060-0819  July 2013
<010>	Study Area Code		452169
<015>	Study Area Name		SAN CARLOS APACHE
<020>	Program Year		2017
<030>	Contact Name - Person USAC should contact regarding this data		Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line	<030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line	<030>	shirley.ortiz@scatui.com
<1000>	Voice services rate comparability certification	Yes	5
<1010>	Attach detailed description for voice services rate comparability compliance	4521	69AZ1010.pdf
			Name of Attached Document
<1020>	Broadband comparability certification	Yes the	s - Pricing is no more than the most recent applicable benchmark announced by Wireline Competition Bureau
<1030>	Attach detailed description for broadband comparability compliance		
			Name of Attached Document

(1100) N	o Terrestrial Backhaul Reporting		FCC Form 481
	lection Form		OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
<010>	Study Area Code	452169	
<015>	Study Area Name	SAN CARLOS APACHE	
<020>	Program Year	2017	
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz	
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.	
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com	
<1100>	Certify whether terrestrial backhaul options exist (Y/N)	Yes	
<1130>	Please select the appropriate response (Yes, No, Not Applicable) to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 upstream within the supported area pursuant to § 54.313(g).	kbps	

(1200) Te	rms and Condition for Lifeline Customers	FCC Form	n 481
Lifeline		OMB Cor	ntrol No. 3060-0986/OMB Control No. 3060-0819
Data Coll	ection Form	July 2013	3
<010>	Study Area Code	452169	
<015>	Study Area Name	SAN CARLOS APACHE	
<020>	Program Year	2017	
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz	
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.	
<039>	Contact Email Address - Email Address of person identified in data line <030:	shirley.ortiz@scatui.com	
		452169AZ1210.pdf	
		132107AB1210.pdf	
.1210	Town 0. Conditions of Wales Talenham Hifelian Disco		
<1210>	Terms & Conditions of Voice Telephony Lifeline Plans		
	·	Name of Atta	ched Document
41.220s			
<1220>	Link to Public Website HTTP		
	<del>-</del>		
"Planca ch	neck these boxes below to confirm that the attached document(s), on line 1210,		
	bsite listed, on line 1220, contains the required information pursuant to		
	(a)(2) annual reporting for ETCs receiving low-income support, carriers must		
annually r	eport.		
<1221>	Information describing the terms and conditions of any voice		
	telephony service plans offered to Lifeline subscribers,		
<1222>	Details on the number of minutes provided as part of the plan,		
11222	betails on the number of minutes provided as part of the plan,		
	_		
<1223>	Additional charges for toll calls, and rates for each such plan.		

(2000) Price Ca	ap Carrier Additional Documentation	FCC Fc	ırm 481
Data Collectio	on Form	OMB	Control No. 3060-0986/OMB Control No. 3060-0819
Including Rate	o-of-Return Carriers affiliated with Price Cap Local Exchange Carriers	July 20	13
040 61	dy Area Code 452169		
	dy Area Code 452169 dy Area Name SAN CARLOS APACHE		
	gram Year 2017		
	tact Name - Person USAC should contact regarding this data  Shirley Ortiz		
	tact Telephone Number - Number of person identified in data line <030> 9284752433 ext.		
<039> Con	tact Email Address - Email Address of person identified in data line <030> shirley.ortiz@scatui.c	om	
	appropriate responses below (Yes, No, Not Applicable) to note compliance as a recipi ct America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e). The informa		•
Inc	remental Connect America Phase I reporting		
<2010>	2nd Year Certification 47 CFR § 54.313(b)(1)(i) - Note that for the July 1		
\2010>	* * * * * * * * * * * * * * * * * * * *		
	2016 certification, this applies to Round 2 recipients of Incremental		
	Support		
<2011>	3rd Year Certification 47 CFR § 54.313(b)(1)(ii) - Note that for the July 1		
	2016 certification, this applies to Round 1 recipients of Incremental		
	Support		
<2022>	Recipient certifies, representing year two after filing a notice of		
\2022>	acceptance of funding pursuant to 54.312(c), that the locations in		
	•		
	question are not receiving support under the Broadband Initiatives		
	Program or the Broadband Technology Opportunities Program for		
	projects that will provide broadband with speeds of at least 4		
	Mbps/1Mbps - 54.313(b)(2)(i). Round 2 recipients only.		
<2023>	The attachment on line 2024 includes a statement of the total amount of		
\2023>			
	capital funding expended in the previous year in meeting Connect		
	America Phase I deployment obligations, accompanied by a list of census		
	blocks indicating where funding was spent. This covers year two -		
	54.313(b)(2)(ii). Round 2 recipients only.		
<2024A>	Round 2 Recipient of Incremental Support?		
1202 174			
		N. CAULLIS	
<2024B>	Attach list of census blocks indicating where funding was spent in year	Name of Attached Document Listing	
	two - 54.313(b)(2)(ii). Round 2 recipients only.	Required Information	
<2025A>	Round 1 or Round 2 Recipient of Incremental Support?		
4202ED:	Attack assessed and information for Dharacteristics are sense /D 14.5	Name of Attack of Decimand 11 11	
<2025B>	Attach geocoded Information for Phase I milestone reports (Round 1 for	Name of Attached Document Listing	
	year three and Round 2 for year two) - Connect America Fund , WC	Required Information	
	Docket 10-90, Report and Order, FCC 13-		
<2015>	2016 and future Frozen Support Certification 47 CFR § 54.313(c)(4)		
~ZUIJ/	2010 and ratare 1102cm Support Certification 47 Critis 3 34.313(c)(4)		

(2000) Price Cap Carrier Additional Documentation (Continued) Data Collection Form Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers		FCC Form 481  OMB Control No. 3060-0986/OMB Control No. 3060-0819  July 2013	
<2016>	Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)} Certification support used to build broadband		
	: America Phase II Reporting {47 CFR § 54.313(e)}		
<2017A>	Connect America Fund Phase II recipient?		
<2017B>	Attach information for Phase II - 54.313(e)(1) - list of geocoded locations already meeting the 54.309 public interest obligations at the end of calendar year 2015 and total amount of Phase II support, if any, the price	Name of Attached Document Listing Required Information	
<2018>	cap carrier used for capital expenditures in 2015. Attach the number, names, and addresses of community anchor institutions to which the carrier newly began providing access to broadband service in the preceding calendar year - 54.313(e)(2)(ii)	Name of Attached Document Listing Required Information	
<2019>	Recipient certifies that it bid on category one telecommunications and Internet access services in response to all FCC Form 470 postings seeking broadband service that meets the connectivity targets for the schools and libraries universal service support program for eligible schools and libraries located within any area in a census block where the carrier is receiving Phase II model-based support, and that such bids were at rates reasonably comparable to rates charged to eligible schools and libraries in urban areas for comparable offerings - 54.313(e)(2)(v)		
<2020>	Recipient certifies that it offered broadband meeting the requisite public interest obligations specified in §54.309 to 40% of its supported locations in the state on December 31, 2017 - 54.313(e)(3)		
<2021>	Recipient certifies that it offered broadband meeting the requisite public interest obligations specified in §54.309 to 60% of its supported locations in the state on December 31, 2018 - 54.313(e)(4)		
<2026>	Recipient certifies that it offered broadband meeting the requisite public interest obligations specified in §54.309 to 80% of its supported locations in the state on December 31, 2019 - 54.313(e)(5)		
<2027>	Recipient certifies that it offered broadband meeting the requisite public interest obligations specified in §54.309 to 100% of its supported locations in the state on December 31, 2020 - 54.313(e)(6)		

(3005) Rate Of Return Carrier Additional Documentation	FCC Form 481
Data Collection Form	OMB Control No. 3060-0986/OMB Control No. 3060-0819
	July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2017
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

Complete the items below to note compliance with five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

	Progress Report on 5 Year Plan		
(3009)	Carrier certifies to 54.313(f)(1)(iii)		
		Yes - Attach Certi	fication
(3010A)	Milestone Certification {47 CFR § 54.313(f)(1)(i)}		452169AZ3010.pdf
(3010B)	Please Provide Attachment	Name of Attached Document Listing Requir Information	red
(3012A)	Community Anchor Institutions {47 CFR § 54.313(f)(1)(ii)}	No - No New Community Anchors	
(3012B)	Please Provide Attachment	Name of Attached Document Listing Requir	red
(3013)	Is your company a Privately Held ROR Carrier {47 CFR § 54.313(f)(2)}	(Yes/No)	
(3014)	If yes, does your company file the RUS annual report	(Yes/No)	
	Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:		
(3015)	Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)		
(3016)	Document(s) with Balance Sheet, Income Statement and Statement of Cash Flows	_ <u>/</u>	452169AZ3017.pdf
(3017)	If the response is yes on line 3014, attach your company's RUS annual report and all required documentation	Name of Attached Document Listing Requir Information	ed
(3018)	If the response is no on line 3014, is your company audited? If the response is yes on line 3018, please check the boxes below to confirm your submission on line 3026 pursuant to § 54.313(f)(2), contains:	(Yes/No)	
(3019)	Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers	<u> </u>	
(3020)	Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows		
(3021)	Management letter and/or audit opinion issued by the independent certified public accountant that performed the company's financial audit. If the response is no on line 3018, please check the boxes below to confirm your submission on line 3026 pursuant to § 54.313(f)(2), contains:		
(3022)	Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers		
(3023)	Underlying information subjected to a review by an independent certified public accountant		
(3024)	Underlying information subjected to an officer certification.		
(3025)	Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows		
(3026)	Attach the worksheet listing required information	Name of Attached Document Listing Requir Information	ed

(3005) Rate Of Return Carrier Additional Documentation (Continued)	FCC Form 481
Data Collection Form	OMB Control No. 3060-0986/OMB Control No. 3060-0819
	July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2017
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

Financial Data Summary	6345566
(3027) Revenue	
(3028) Operating Expenses	7892596
(3029) Net Income	-951333
(3030) Telephone Plant In Service(TPIS)	29910291
(3031) Total Assets	21432512
(3032) Total Debt	16088453
(3033) Total Equity	5344059
(3034) Dividends	0

(4005) Rural Broadband Experiment Additional Documentation Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819
	July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2017
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data lin	ne <030> 9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data li	ne <030> shirley.ortiz@scatui.com

### **4005 Rural Broadband Experiment**

Authorized Rural Broadband Experiment (RBE) recipients must address the certification for public interest obligations, provide a list of newly served community anchor institutions, and provide a list of locations where broadband has been deployed.

### Public Interest Obligations - FCC 14-98 (paragraphs 26-29, 78)

Please address Line 4001 regarding compliance with the Commission's public interest obligations. All RBE participants must provide a response to Line 4001.

**4001**. Recipient certifies that it is offering broadband to the identified locations meeting the requisite public interest obligations consistent with the category for which they were selected, including broadband speed, latency, usage capacity, and rates that are reasonably comparable to rates for comparable offerings in urban areas?

### Community Anchor Institutions – FCC 14-98 (paragraph 79)

**4003a**. RBE participants must provide the number, names, and addresses of community anchor institutions to which they newly deployed broadband service in the preceding calendar year. On this line, please respond (yes – attach new community anchors, no – no new anchors) to indicate whether this list will be provided.

### If yes to 4003A, please provide a response for 4003B.

speed and data usage allowances available in the

relevant geographic area.

4003b. Provide the number, names and addresses of community anchor institutions to which the recipient newly began providing access to broadband service in the preceding calendar year.

Broadband Deployment Locations – FCC 14-98 (paragraph 80)

4004a. Attach a list of geocoded locations to which broadband has been deployed as of the June 1st immediately preceding the July 1st filing deadline for the FCC Form 481.

4004b. Attach evidence demonstrating that the recipient is meeting the relevant public service obligations for the identified locations. Materials must at least detail the pricing, offered broadband

Name of Attached Document Listing Required Information

Name of Attached Document Listing Required Information

Name of Attached Document Listing Required Information

Certification - Reporting Carrier	FCC Form 481
Data Collection Form	OMB Control No. 3060-0986/OMB Control No. 3060-0819
	July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2017
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

### TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

### Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients

I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.

Name of Reporting Carrier: SAN CARLOS APACHE

Signature of Authorized Officer: CERTIFIED ONLINE Date 06/29/2016

Printed name of Authorized Officer: Shirley Ortiz

Title or position of Authorized Officer: General Manager

Telephone number of Authorized Officer: 9284752433 ext.

Study Area Code of Reporting Carrier: 452169 Filing Due Date for this form: 07/01/2016

Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.

	ion - Agent / Carrier ection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2017
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

### TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

I certify that (Name of Agent) also certify that I am an officer of the reporting carr agent; and, to the best of my knowledge, the repor	is authorized to submit the information reported on behalf of the reporting ca by responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the author data provided to the authorized agent is accurate.	
Name of Authorized Agent:		
Name of Reporting Carrier:		
Signature of Authorized Officer:	Date:	
Printed name of Authorized Officer:		
Title or position of Authorized Officer:		
Telephone number of Authorized Officer:		
Study Area Code of Reporting Carrier:	Filing Due Date for this form:	
Persons willfully making false statements on this f	n be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

### TO BE COMPLETED BY THE AUTHORIZED AGENT:

Certification of Agent	Authorized to File Annual Reports for CAF or LI Recipie	nts on Behalf of Reporting Carrier					
	norized to submit the annual reports for universal service support reporting carrier; and, to the best of my knowledge, the informat						
Name of Reporting Carrier:							
Name of Authorized Agent Firm:							
Signature of Authorized Agent or Employee of Agent:	ignature of Authorized Agent or Employee of Agent: Date:						
Name of Authorized Agent Employee:							
Title or position of Authorized Agent or Employee of Agent							
Telephone number of Authorized Agent or Employee of A	gent:						
Study Area Code of Reporting Carrier:	Filing Due Date for this form:						
Persons willfully making false statements on this form	n can be punished by fine or forfeiture under the Communications Act of 18 of the United States Code, 18 U.S.C. § 1001.	1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title					



(700) Price Offerings including Voice Rate Data	FCC Form 481
Data Collection Form	OMB Control No. 3060-0986/OMB Control No. 3060-0819
	July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2017
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

<701> Residential Local Service Charge Effective Date 1/1/2016 <702> Single State-wide Residential Local Service Charge 16.0

<703>

<a1></a1>	<a2></a2>	<a3></a3>	<b1></b1>	<b2></b2>	<b3></b3>	<b4></b4>	<b5></b5>	<c></c>
				Residential Local			Mandatory Extended Area	
State	Exchange (ILEC)	SAC (CETC)	Rate Type	Service Rate	State Subscriber Line Charge	State Universal Service Fee	Service Charge	Total per line Rates and Fees
AZ	All		FR	16.0	0.0	0.0	0.0	16.0

(710) Broadband Price Offerin	g
Data Collection Form	

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2017
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
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<711>	<a1></a1>	<a2></a2>	<b1></b1>	<b2></b2>	<c> <d1></d1></c>	<d2></d2>	<d2> <d3></d3></d2>		<d4></d4>
	State	Exchange (ILEC)	Residential Rate	State Regulated Fees	Total Rates and Fees	Broadband Service - Download Speed (Mbps)	Broadband Service -Upload Speed (Mbps)	Usage Allowance (GB)	Usage Allowance Action Taken When Limit Reached {select}
	AZ	All	39.95	0.0	39.95	4.0	1.0	999999	Other, None
	AZ	All	69.95	0.0	69.95	10.0	1.0	999999	Other, None

San Carlos Apache Telecommunications Utility, Inc. (SAC 452169) Five Year Service Quality Improvement Plan Progress Report For the 2016 Reporting Year Per 47 CFR § 54.313(a)(1)

San Carlos Apache Telecommunications Utility, Inc. (SAC 452169) Five Year Service Quality Improvement Plan Progress Report For the 2016 Reporting Year Per 47 CFR § 54.313(a)(1)

San Carlos Apache Telecommunications Utility, Inc. (SAC 452169) Five Year Service Quality Improvement Plan Progress Report For the 2016 Reporting Year Per 47 CFR § 54.313(a)(1)

San Carlos Apache Telecommunications Utility, Inc. (SAC 452169) Five Year Service Quality Improvement Plan Progress Report For the 2016 Reporting Year Per 47 CFR § 54.313(a)(1)

Statement Regarding Service Quality Standards and Consumer Protection Rules Compliance 47 C.F.R. § 54.313(a)(10)

Form 481, Line 510

San Carlos Apache Telecommunications Utility, Inc. ("the Company") complies with applicable service quality standards and consumer protection rules for its voice and broadband services.

The Company is owned by the San Carlos Apache Tribe. It is therefore reasonable that the Company operates under service quality standards and consumer protection rules that are in the best interest of its customers, who are mostly members of the Tribe.

The rates, terms and conditions under which the Company operates are outlined in its local exchange tariff. The tariff was modeled after the tariffs of state-regulated rural incumbent local exchange carriers, although the Company itself is not regulated by the Arizona Corporation Commission. The Company modifies its tariff from time to time with approval of, or at the request of the Tribal Council. The Company's tariff contains provisions regarding its customer service and protection practices.

Service quality standards are established by the Company Board of Directors with a Tribal Council liaison sitting on the Board. Monthly reports on service quality compliance are provided to the Board, with periodic updates to the Tribal Council.

With regard to broadband service, the Company provisions its network and equipment to ensure that its customers can enjoy the speeds to which they subscribe. However, Internet speeds generally result from a "best effort" service and are dependent upon a number of variables, many of which are outside the control of the Company.

The Company also complies with the following consumer best practices: (1) the Company discloses its rates and terms of service to customers; (2) the Company provides specific disclosures in its advertising; (3) the Company separately identifies carrier charges from taxes on its billing statements; (4) the Company provides ready access to customer service; (5) the Company promptly responds to consumer inquiries and complaints received from government agencies; and (6) the Company abides by policies for protection of consumer privacy.

Finally, the Company has a policy and established operating procedures that comply with the FCC's Customer Proprietary Network Information (CPNI) rules (47 C.F.R. §§64.2001-64.2011). Certification of the Company's compliance with CPNI rules and a description of the Company's operating procedures that ensure compliance are filed annually with the FCC.

Statement Regarding Functionality in Emergency Situations 47 C.F.R. § 54.313(a)(10) Form 481, Line 610

San Carlos Apache Telecommunications Utility, Inc. ("the Company") is able to function in emergency situations for both voice and broadband service. The Company has a reasonable amount of back-up power to ensure functionality without an external power source. Standby power generators are supplied at the central office, remote switch sites, and repeater sites to ensure functionality without an external power source until power is restored, so long as fuel is available. The network is capable of managing traffic spikes resulting from emergency situations.

The Company is able to reroute traffic around damaged facilities. Although the Company's ability to reroute traffic around damaged facilities is not absolute and may be limited in certain circumstances, there is a restoration plan in place for expeditious recovery of service, including splicing of damaged facilities when warranted.

The Company has three Sonet fiber rings that increase the survivability of its network. The rings allow the Company to maintain service to its remote electronic sites in the event of a fiber outage, making the Company's network efficient, economical, and reliable.



San Carlos Apache Telecommunications Utility, Inc.

### LINE 920 – TRIBAL GOVERNMENT ENGAGEMENT OBLIGATION

San Carlos Apache Telecommunications Utility, Inc. ("SCATUI" or "the Company") is a tribally owned company and as such, engagement with the San Carlos Apache Tribe ("SCAT" or "the Tribe") and the Tribal Council are essential to the Company's operation. SCATUI's General Manager along with the President or other member of its Board of Directors meet with the San Carlos Apache Tribal Council and other SCAT representatives on a quarterly basis. During those meetings, the Company provides progress reports on its various communications and broadband plans, and engages in conversations regarding any modifications needed to meet the needs of the Tribe. Presently, four members of the Tribal Council sit on SCATUI's Board of Directors, with each member representing one of the four districts of the reservation.

SCATUI's General Manager and management team also participate in SCAT's annual Special Council meeting. During the annual meeting, SCATUI presents its year-end accomplishments, financial information, and future goals and projects for the coming year.

### Needs Assessment and Deployment Planning with a Focus on Tribal Community Anchor Institutions

Since SCATUI's inception, discussions between the Company and the Tribe regarding needs assessment and deployment planning have been integral to the growth in its customer base on the reservation from approximately 600 in 1998 to over 2,400 in 2016. Ongoing discussions and planning result in the deployment of new services to existing customers as well as the extension of telecommunications and broadband services to new anchor institutions and housing developments on the reservation. This includes a new hospital and a proposed new school.

In addition, funding from the American Recovery and Reinvestment Act has made fiber-to-the-home deployment possible in a new housing development and will also fund fiber build out to other housing communities presently served via copper.

### Feasibility and Sustainability Planning

At least every five years the Tribe develops a comprehensive economic development strategy and SCATUI is an active participant in the planning process.

### **Marketing in a Culturally Sensitive Manner**

Marketing is done in a culturally sensitive manner. SCATUI participates in community meetings where it presents information on its services to the public, including its Lifeline program offerings. The presentations are provided in the Apache language for those residents who only speak Apache. Other services have been customized to meet the needs of the public such as voice mail verbal instructions offered in the Apache language. The majority of the Company's employees are members of the SCAT, understand the

community's needs, and are sensitive to the cultural differences of its customers. SCATUI collaborates with the Tribal Language Preservation program by placing "Apache Word of the Week" ads on its local advertising channel. Local businesses also offer auto-attendant call recordings in the Apache language for Apache speaking customers.

### Compliance with Rights of Way Processes, Land Use Permitting Requirements, Facilities Sitting Rules, Environmental Review Processes, and Cultural Preservation Review Processes

During the quarterly meetings and ongoing as necessary, SCATUI engages with the Tribal Council and respective Tribal departments regarding right-of-way processes, land use permitting requirements, facilities sitting rules, environmental review processes, and cultural preservation review processes specific to each of the Company's projects.

### **Compliance with Tribal Business and Licensing Requirements**

SCATUI complies with all Tribal business and licensing requirements. The Company is required to obtain a Tribal Privilege License. It must also apply annually for a Tribal Business License as well as a Land Lease agreement.

Statement Regarding Voice Rate Comparability 47 C.F.R. § 54.313(a)(10) Form 481, Line 1010

The Wireline Competition Bureau's most recent reasonable comparability benchmark for voice services is \$47.48, which includes the federal subscriber line charge ("SLC").<sup>1</sup>

In all of the exchanges served by San Carlos Apache Telecommunications Utility, Inc. ("the Company"), the single-line residential local rate is \$16.00. When the federal SLC (\$6.50) is included, the rate becomes \$22.50. Therefore, the Company's pricing of fixed voice services is less than the reasonable comparability benchmark of \$47.48.

<sup>&</sup>lt;sup>1</sup> Wireline Competition Bureau Announces Results of Urban Rate Survey for Voice Services; Seeks Comment on Petition for Extension of Time to Comply With New Rate Floor, WC Docket No. 10-90, DA 14-384 (rel. Mar. 20, 2014), p. 2.

Statement Regarding Terms & Conditions of Voice Telephony Lifeline Plans 47 C.F.R. § 54.313(a)(10) Form 481, Line 1210

All of the exchanges served by San Carlos Apache Telecommunications Utility, Inc. ("the Company") are Tribal lands. In all of the Company's exchanges, residential customers who qualify for the Lifeline Program receive a discount of \$22.50 on local voice telephony service.

In all of the Company's exchanges, the Lifeline single-line residential rate, including the federal SLC, is \$0.00 (\$22.50 standard rate - \$22.50 discount).

All single-line residential customers, including Lifeline customers, have an unlimited number of minutes for calls made within their local calling area.

Toll charges for calls outside of the local calling area are determined by the long distance carrier of the customer's choosing. Lifeline customers may elect to subscribe to toll blocking at no charge.

The Company does not disconnect the service of Lifeline subscribers for the non-payment of toll charges. However, the Company reserves the right to implement toll blocking, at no charge, if the customer incurs a significant balance of unpaid toll bills.

The Company offers bundled services to Lifeline customers that are discounted by \$22.50 for the voice component of the bundle.

Lifeline Program reductions do not apply to additional services such as custom calling features. Lifeline customers may subscribe to these services at the same rates offered to other customers.

Additional information regarding the terms and conditions of voice telephony Lifeline plans can be found at http://scatui.com/telephone/e-lifelinee-linkup/.

Progress Report on 5 Year Plan – Milestone Certification 47 C.F.R. § 54.313(f)(1)(i) Form 481, Line 3010

San Carlos Apache hereby certifies pursuant to 47 CFR 54.313(f)(1)(i) that it is taking all reasonable steps to provide, upon reasonable request, broadband service at actual speeds of at least 10 mbps downstream and 1 mbps upstream, with latency suitable for real-time applications, including Voice over Internet Protocol, and usage capacity that is reasonably comparable to comparable offerings in urban areas, and that requests for such service are met within a reasonable time frame.